



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **C. Richard Unkle, et al**

Group Art Unit: **2184**

Serial No.: **10/649,513**

Examiner: **Unassigned**

Filed: **08/26/2003**

Confirmation No.: **5802**

Atty. Docket No.: **20-LC-1956/624226-379**

For: **Method and Apparatus for Diagnosing Difficult to Diagnose Faults in a Complex System**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

- ☒ 1. Pursuant to 37 CFR 1.97(b)  
(within 3 months of filing or prior to 1<sup>st</sup> Office Action)
- ☐ 2. Certification Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ 3. Fee Payment Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) (before issue fee payment)

January 13, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 and 1.98:

- ☒ 5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1<sup>st</sup> Office Action];
- ☐ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier; and
- ☐ 8. The required certification made in item 11 below; or

[ ] 9. The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

[ ] 10. 37 CFR § 1.95(d): [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is enclosed via check. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_, Date of Allowance \_\_\_\_"), and

b) The required Certification is stated in item 11 below.

[ ] 11. Certification

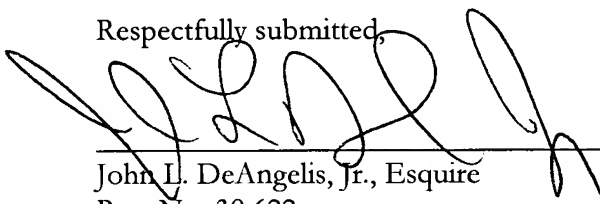
[ ] 12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[ ] 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[ ] 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. \_\_\_\_\_.

An original and two (2) copies of this document are enclosed.

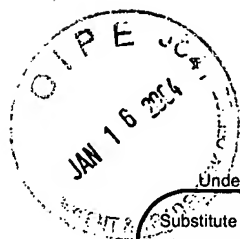
Respectfully submitted,

  
\_\_\_\_\_  
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#### CERTIFICATE OF MAILING

I HEREBY CERTIFY that this Supplemental Information Disclosure Statement is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 13 day of January, 2004.

  
\_\_\_\_\_  
Pamela A. Pagel



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/649,513
		Filing Date	08/26/2003
		First Named Inventor	C. Richard Unkle
		Art Unit	2184
		Examiner Name	Unassigned
Sheet 1 of 1	Attorney Docket Number	20-LC-1956/624226-379	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A	TROBEC, ROMAN, et al; Optimization of Diagnostic Examination; University of Ljubljana, Jozef Stefan Institute, Ljubljana, Slovenia.	
	B	JOHNSON, DANIELA; Data-Tronic Gas Turbine Information and Control System; 1981; Schenectady, New York, USA.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.